

**UNITED STATES DISTRICT COURT  
FOR THE SOUTHERN DISTRICT OF NEW YORK**

SONTERRA CAPITAL MASTER FUND, LTD. and  
HAYMAN CAPITAL MANAGEMENT, L.P., on behalf of  
themselves and all others similarly situated,

Plaintiffs,

- against -

UBS AG, UBS SECURITIES JAPAN CO. LTD., MIZUHO  
BANK, LTD., THE BANK OF TOKYO-MITSUBISHI UFJ,  
LTD., THE SUMITOMO TRUST AND BANKING CO.,  
LTD., THE NORINCHUKIN BANK, MITSUBISHI UFJ  
TRUST AND BANKING CORPORATION, SUMITOMO  
MITSUI BANKING CORPORATION, RESONA BANK,  
LTD., J.P. MORGAN CHASE & CO., JPMORGAN CHASE  
BANK, NATIONAL ASSOCIATION, J.P. MORGAN  
SECURITIES PLC, MIZUHO CORPORATE BANK, LTD.,  
DEUTSCHE BANK AG, DB GROUP SERVICES UK  
LIMITED, MIZUHO TRUST AND BANKING CO., LTD.,  
THE SHOKO CHUKIN BANK, LTD., SHINKIN CENTRAL  
BANK, THE BANK OF YOKOHAMA, LTD., SOCIÉTÉ  
GÉNÉRALE SA, THE ROYAL BANK OF SCOTLAND  
GROUP PLC, THE ROYAL BANK OF SCOTLAND PLC,  
RBS SECURITIES JAPAN LIMITED, BARCLAYS BANK  
PLC, BARCLAYS PLC, BARCLAYS CAPITAL INC.,  
CITIBANK, NA, CITIGROUP, INC., CITIBANK, JAPAN  
LTD., CITIGROUP GLOBAL MARKETS JAPAN, INC.,  
COÖPERATIEVE CENTRALE RAIFFEISEN-  
BOERENLEENBANK B.A., HSBC HOLDINGS PLC, HSBC  
BANK PLC, LLOYDS BANKING GROUP PLC, HBOS PLC,  
LLOYDS BANK PLC, ICAP PLC, ICAP EUROPE LIMITED,  
R.P. MARTIN HOLDINGS LIMITED, MARTIN BROKERS  
(UK) LTD., TULLETT PREBON PLC, BANK OF AMERICA  
CORPORATION, BANK OF AMERICA, N.A., MERRILL  
LYNCH INTERNATIONAL INCORPORATED, AND JOHN  
DOE NOS. 1-50,

Defendants.

Docket No. 15-cv-5844 (GBD)

**RULE 7.1 CORPORATE DISCLOSURE STATEMENT**

Pursuant to Federal Rule of Civil Procedure 7.1, Defendants Barclays Bank PLC, Barclays PLC, and Barclays Capital Inc., by and through the undersigned counsel, certify as follows:

(1) Barclays Bank PLC is a wholly owned subsidiary of Barclays PLC, which is a publicly held corporation, and no other publicly traded company owns 10% or more of Barclays Bank PLC's stock.

(2) Barclays PLC is a publicly held corporation, has no parent corporation, and no publicly held corporation owns 10% or more of a class of its equity securities.

(3) Barclays Capital Inc. identifies the following as parent corporations or publicly held corporations that own 10% or more of any class of its equity interests: Barclays PLC, Barclays Bank PLC, and Barclays Group US Inc.

Dated: October 22, 2015  
New York, New York

/s/ Jonathan D. Schiller

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/s/ David H. Braff

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